

APPLYING FOR A PROVISIONAL SPORTS WAGERING LICENSE

The Office of Lottery and Gaming (OLG) may issue Provisional Sports Wagering Licenses to qualified Applicants in the following categories: Provisional Class A License, Provisional Class B License, Provisional Management Services Provider License and Provisional Supplier License. Provisional Sports Wagering Licenses will only be granted to Applicants that are fully licensed for sports wagering, in the same category of license as being applied for in the District, from an OLG-approved jurisdiction. Visit dclottersportsbetting.com for the Executive Order establishing the OLG-approved gaming jurisdictions.

A Provisional Sports Wagering License shall be valid for a period of up to six (6) months. The Executive Director may extend the Provisional Sports Wagering License period upon a showing of good cause. While operating under a Provisional Sports Wagering License, the Licensee shall adhere to all applicable requirements. The Applicant must complete the OLG's full sports wagering licensing application and meet all requirements prior to being issued a standard sports wagering license. The initial standard license term of the Applicant shall be reduced by the number of days the Applicant held a Provisional Sports Wagering License.

An Applicant for a Provisional Sports Wagering License must pay the non-refundable application fee associated with a standard sports wagering license in the category for which it is applying. If the Applicant is approved for a standard sports wagering license, there will be no additional fees assessed for the initial standard license term; however, the Applicant's initial standard license will be reduced by the number of days the Applicant held a Provisional Sports Wagering License.

Initial Application License Fees:

- Class A Operator License: \$500,000
- Class B Operator License: \$100,000
- Management Services Provider License: \$10,000
- Supplier License: \$10,000

A Provisional Sports Wagering License shall expire immediately if the Applicant's application for a standard sports wagering license is denied.

An Applicant for a Provisional Sports Wagering License must begin the Office's standard license application within ten (10) business days of submission of a complete Provisional License Application, including license fee payment. <http://www.dclottery.com>

GETTING STARTED

ALL APPLICANTS

At a minimum, all Applicants for a Provisional Sports Wagering License should be prepared to submit the following documents to the OLG along with a completed Provisional Sports Wagering License Application:

- Proof of current full licensure to conduct sports wagering from an OLG-approved jurisdiction in the same category or equivalent license category as being applied for in the District, along with a copy of the initial application that was submitted to the license-issuing jurisdiction as well as any amendments and updates submitted since initial licensure.
- A copy of the entity's DC Basic Business License.
- Proof of good standing pursuant to D.C. Official Code § 29.102.08 and a certification that the Citywide Clean Hands Database indicates that the Applicant is current with its District taxes.
- Key Personnel Release of Information Form(s).
- Information specific to Applicant's proposed sports wagering operations in the District.
- In conjunction with application for a Provisional Sports Wagering License, Operator and MSP Applicants must meet the Sports Wagering CBE and Small Business Development Program Requirements.
- The OLG may request additional information considered necessary and appropriate to determine competency, honesty, quality, economic impact and integrity of the proposed operation.

CLASS A AND CLASS B OPERATOR APPLICANTS ONLY

Operators must be bonded in the amount equal to fifty percent (50%) of the amount disclosed in accordance with D.C. Official Code § 36-621.06(a)(1)(F).

CLASS A OPERATORS APPLICANTS ONLY

Documentation indicating whether you have entered into a labor peace agreement with each labor organization that is actively engaged in representing or attempting to represent employees in the gaming, hospitality, or food and beverage industries in the District. If you have not entered into a labor peace agreement as referenced in this paragraph, you shall provide the OLG with information showing that you are engaged in good faith negotiations to enter into a labor peace agreement or information showing why you were unable to enter into a labor peace agreement.

Applications are subject to the Sports Wagering Lottery Amendment Act of 2018, effective May 3, 2019 (D.C. Law 22-312; 66 DCR 1402), as amended by the Fiscal Year 2020 Budget Support Act of 2019, effective September 11, 2019 (D.C. Law 23-16; 66 DCR 8621), and D.C. MUN. REGS., tit. 30, §§ 2100-2199.



Provisional Sports Wagering License Application

APPLICANT INFORMATION

Select the license type you are applying for by checking one of the boxes *:

An Applicant for a Provisional Sports Wagering License is required to provide proof of full current licensure for sports wagering in the same category or equivalent category of the license selected above. Fill out required information here. All required fields are marked with an asterisk (*)

- | | |
|---|--|
| <input type="checkbox"/> Operator Class A | <input type="checkbox"/> Operator Class A Joint Venture Certified by DSLBD |
| <input type="checkbox"/> Operator Class B | <input type="checkbox"/> Operator Class B Joint Venture Certified by DSLBD |
| <input type="checkbox"/> Management Services Provider | <input type="checkbox"/> Sports Wagering Supplier |

APPLICANT'S BUSINESS NAME & PREMISES ADDRESS

Business Name *:	Address *:

Principal Email Address *:	Web URL *:

Principal Phone Number *:	Principal Fax Number *:

BUSINESS STRUCTURE

Basic Business License Number *:	Date of Form of Organization *:

Formation*:	DC Corporate Registration Number:

Note: Provide a copy of your Basic Business License as an attachment to this application.

DC CLEAN HANDS COMPLIANCE CHECK

Pursuant to the "Clean Hands Before Receiving a License of Permit Act of 1996" (DC Law 11-118, as amended; D.C. Official Code Sec. 47-2861 et seq.), the District of Columbia Government (District) shall not issue or reissue any license or permit to an Applicant if the Applicant owes the District more than \$100 in outstanding debt. Accordingly, you must pass a Clean Hands check before being issued a sports wagering license. For more information or for help with this form, please visit ocfocleanhands.dc.gov/cch.

FEIN/SSN *:

A Clean Hands check will be completed upon submission of this application and again prior to approval.

As an attachment to this application you must provide a certificate of Clean Hands.

SPORTS WAGERING CBE AND SMALL BUSINESS DEVELOPMENT PROGRAM REQUIREMENTS

A key objective in legalizing sports wagering in the District of Columbia is to create opportunities for local small businesses to participate in the sports wagering industry.

The Office of Lottery and Gaming (OLG) shall only issue an Operator License (Class A and Class B) or Management Services Provider License to Applicants who meet the Certified Business Enterprise (CBE) and Small Business Development Program Requirements as detailed in D.C. Official Code §§ 36-621.05, 06 and 16; Title 30 DCMR §§ 2101, 2102, 2103 and 2134.



I understand the CBE and Small Business Development Program Requirements needed to obtain a Sports Wagering Operator License (Class A and Class B) or Management Services Provider License and will submit a CBE plan to the Department of Small and Local Business Development (DSLBD) for review.*

NOTE: DSLBD will notify the OLG of the final determination of all submitted joint venture certification requests or CBE plans received.

CONTACT INFORMATION

CONTACT NAME FOR THIS APPLICATION

First Name *: Middle Name: Last Name *: Suffix (Jr., Sr., etc.):

Title *: Phone Number *: Individual Email Address: Fax Number:

APPLICANT'S BILLING CONTACT INFORMATION

First Name *: Middle Name: Last Name *: Suffix (Jr., Sr., etc.):

Position Title *: Phone Number *: Individual Email Address: Fax Number:

Address *: Country *: _____

State/Province *: City *: Postal Code *: _____

OTHER OWNERS (5% or MORE)

If the Applicant's business has multiple owners, please provide contact details below for each principal. If more space is required please fill out the Ownership Information Supplemental Form.

_____ First Name *:	_____ Middle Name:	_____ Last Name *:	_____ Suffix (Jr., Sr., etc.):
_____ Position Title *:	_____ Phone Number *:	_____ Individual Email Address:	_____ Fax Number:
_____ Address *:		_____ Country *:	_____ State/Province *:
_____ City *:	_____ Postal Code *:	_____ Ownership Percentage *:	_____ Compensation Arrangement *: <small>(salary, hourly wage, incentives, bonuses)</small>

_____ First Name *:	_____ Middle Name:	_____ Last Name *:	_____ Suffix (Jr., Sr., etc.):
_____ Position Title *:	_____ Phone Number *:	_____ Individual Email Address:	_____ Fax Number:
_____ Address *:		_____ Country *:	_____ State/Province *:
_____ City *:	_____ Postal Code *:	_____ Ownership Percentage *:	_____ Compensation Arrangement *: <small>(salary, hourly wage, incentives, bonuses)</small>

_____ First Name *:	_____ Middle Name:	_____ Last Name *:	_____ Suffix (Jr., Sr., etc.):
_____ Position Title *:	_____ Phone Number *:	_____ Individual Email Address:	_____ Fax Number:
_____ Address *:		_____ Country *:	_____ State/Province *:
_____ City *:	_____ Postal Code *:	_____ Ownership Percentage *:	_____ Compensation Arrangement *: <small>(salary, hourly wage, incentives, bonuses)</small>

LICENSES & CURRENT STANDING

Does the Applicant, its parent or any subsidiary currently have a valid sports wagering license (excluding temporary and/or provisional) issued by an Office-approved gaming jurisdiction in the same category of license that the Applicant is applying for?

Yes

No

Type of License or Permit *: Operator or Equivalent MSP or Equivalent Supplier or Equivalent

Name and Location of Agency *:

License Number *:

Date Granted *:

Expiration Date *:

Type of License or Permit *: Operator or Equivalent MSP or Equivalent Supplier or Equivalent

Name and Location of Agency *:

License Number *:

Date Granted *:

Expiration Date *:

Type of License or Permit *: Operator or Equivalent MSP or Equivalent Supplier or Equivalent

Name and Location of Agency *:

License Number *:

Date Granted *:

Expiration Date *:

If you need additional space, please provide all information (License or Permit type, name and location of the agency, license number, date granted and expiration date) on separate sheet of paper and submit it with this application.

What valid sports wagering license (excluding temporary and/or provisional) issued by an Office-approved gaming jurisdiction is being utilized to apply for this provisional license?

Type of License or Permit *:

Name and Location of Agency *:

License Number *:

Expiration Date *:

Please answer the following questions based on the valid sports wagering license (excluding temporary and/or provisional) issued by an Office-approved gaming jurisdiction that is being utilized to apply for this provisional license.

If you answer “Yes” to any of the questions below, please provide a detailed explanation on a separate sheet of paper and submit it with this application.

Has the Applicant been a party to any civil litigation since last filing a State Gambling License Application? *

Yes No

Has the Applicant been named in any administrative action affecting any license certification since last filing a State Gambling License Application? *

Yes No

Has the Applicant or any of its principals been convicted of any crime (misdemeanor or felony) since last filing a State Gambling License Application? *

Yes No

Has the Applicant acquired or increased a financial interest in a business that conducts lawful gambling outside the state since last filing a State Gambling License Application? *

Yes No

LICENSE CONDITIONS

The Applicant agrees to the following conditions:

Pursuant to DCMR 30 § 2106.3:

The Provisional Sports Wagering License does not create a right or privilege to continue sports wagering operations if the Applicant’s application for a standard sports wagering license is rejected by the OLG.

The OLG may rescind the Applicant’s Provisional Sports Wagering License at any time, with notice to the Applicant, if:

- The OLG is informed that the suitability of the Applicant may be at issue; and
- The Applicant fails to cooperate in the OLG ‘s investigation into the qualifications and suitability of the Applicant for a standard sports wagering license.

Pursuant to DCMR 30 § 2101.6:

As a condition of licensure the Applicant agrees to indemnify and to save harmless the District of Columbia against any and all actions, claims and demands of whatever kind or nature that the District of Columbia may incur by reason of or in consequence of issuing an Operator License to the Licensee.

PROVISIONAL SPORTS WAGERING LICENSE APPLICATION ACKNOWLEDGEMENT

In order to be eligible for a Provisional Sports Wagering License, you must begin the full application process within ten (10) business days of submission of a complete Provisional License Application, including license fee payment.

Payment of the non-refundable application fee is due at the time of application submission. Once payment is received by the OLG, all persons and business listed within this application will be subject to a complete background investigation which will include criminal and credit checks. Being granted a provisional license does not guarantee an Applicant will be issued a standard license after its provisional license expires.

CREDIT CHECK AND RELEASE OF INFORMATION

I am an Applicant for a sports wagering license in the District of Columbia.

The District of Columbia Office of Lottery and Gaming, including its officers, employees, agents, and vendors (collectively "the OLG"), is required by law to conduct an investigation of an Applicant for a sports wagering license. That investigation requires the OLG to collect and evaluate information about me in order to determine my eligibility for a sports wagering license.

By executing this Authorization for Fingerprinting, Background Check, Credit Check and Release of Information, I expressly authorize the OLG to obtain a credit report, and personal and business records which may assist the OLG in determining my eligibility for a sports wagering license.

Each Applicant for a sports wagering license (including each owner, officer, executive, key personnel, employee or agent having power to significantly exercise influence in business operations of an Applicant) shall be subject to District, state, and national criminal history background checks. Such background checks shall include fingerprinting of the Applicant to be used for a national criminal records check by the Metropolitan Police Department and the Federal Bureau of Investigation.

By executing this Authorization for Fingerprinting, Background Check, Credit Check and Release of Information, I expressly consent to such fingerprinting and to the release of information about me by the Metropolitan Police Department and the Federal Bureau of Investigation to the OLG.

I expressly waive, release, discharge and forever hold harmless and agree to indemnify any local, State or federal government body; commercial or business enterprise; non-profit entity; individual; or any other public or private entity that releases information to the OLG under the authority of this Authorization.

I am aware that false or misleading statements or failure to provide required information may be cause for denial of my application.

Pursuant to D.C. Official Code § 22-2405, a person commits the offense of making false statements if that person willfully makes a false statement that is in fact material, in writing, directly or indirectly, to any instrumentality of the District of Columbia government, under circumstances in which the statement could reasonably be expected to be relied upon as true. Any person convicted of making false statements shall be fined not more than the amount set forth in D.C. Official Code § 22-3571.01 or imprisoned for not more than 180 days, or both.

BY SIGNING THIS APPLICATION, I CERTIFY THAT THE ANSWERS ARE CORRECT AND COMPLETE TO THE BEST OF MY KNOWLEDGE. I ALSO CERTIFY THAT:

- I understand the questions and statements on this application.
- I have read and understand the legal information contained on this application
- I understand and agree that the Office of Lottery and Gaming may contact other persons or organizations to obtain needed proof of my eligibility for a sports wagering license.

_____	_____	_____
First Name *:	Middle Name:	Last Name *:
_____	_____	_____
Title *:	Organization *:	
_____	_____	
Signature *:	Date *:	

FOR GOVERNMENT USE ONLY

_____	_____	_____
Date Received	Date Payment Received	OLG Licensing Specialist
_____	_____	_____
Date CBE Plan Submitted	Provisional License Number	Sports Wagering License Number